Docket No. STL000017US1 (Patent)

In re application of: William BADE er, et al.

Serial No.: 09/488,969 Filed: January 21, 2000

Group No .: Unknown Examiner: Unknown

TATES PATENT AND TRADEMARK OFFICE

Title: PREREQUISITE CHECKING IN A SYSTEM FOR CREATING COMPILATIONS OF CONTENT

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

SIR:

Transmitted herewith in the above-identified application are:

- \_ Information Disclosure Statement;
- X USPTO FORM 1449;
- X Copies of cited references;
  X Return Postcard.

#### FEES:

The fee has been calculated as shown below:

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TOTAL	MINUS	20	=	0		_x 18 =	\$	.00	
INDEP.	MINUS	3	=	0		x 78 =	\$	.00	
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<u>x</u>	Any filing fee	es under 3	7 CF	R 1.16 for	thep	resentation	of ex	tra cl	laims.

Any patent application processing fees under 37 CFR 1.17.

Sincerely, William J. Baer, et al.

### CERTIFICATE OF MAILING

I hereby certify that the above paper/fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on Hpril 21,2000

Person Mailing paper/fee: Jeanette B. Durbin.

Ingrid M. Foerster Registration No. 36,511 Attorney for Applicant(s) Telephone (408) 463-5675 IBM Corporation Intellectual Property Law Dept. J46/G464 555 Bailey Avenue San Jose, CA 95141-1003

Docket No. STL000017US1 (Patent)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Unknown

Title: PREREQUISITE CHECKING IN A SYSTEM FOR CREATING COMPILATIONS OF CONTENT

INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 CFR 1.97(b))

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97-1.98, a copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of prior art which is considered to be pertinent to the invention as claimed in the above-identified application.

It is requested that the Examiner fully consider the art cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited reference, and return a copy of the Form 1449 for applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

The citation of the above references should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent art is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there against as references.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever occurs last. 37 CFR 1.97(b).

> Sincerely, William J. Baer, et al.

### CERTIFICATE OF MAILING

I hereby certify that the above paper/fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on April 21, 2000

Person Mailing paper/fee: Jeanette B. Durbin.

Ingrid M. Foerster Registration No. 36,511 Attorney for Applicant(s) Telephone (408) 463-5675 IBM Corporation Intellectual Property Law Dept. J46/G464 555 Bailey Avenue San Jose, CA 95141-1003

Form PTO 1449 U.S. Dept of Commerce PR 2 Patent & Trademark off. Rev 7-80

ty. Docket No. : Serial No. L000017US1

:09/488,969

Applicant(s)

LIST OF PRIOR ART CITED BY APPLICANT CANEL

William J. Baer, et. al. File Date

: Group

(Use several sheets if necessary)

January 21, 2000 : Unknown

### U.S. PATENT DOCUMENTS

EXAM.: :	DOCUMENT			CLASS/
INTL.: :_	NUMBER	DATE	NAME	SUBCLASS
:AA:	3964029	06/15/76	Babb	340/172.5
:AB:	4823306	04/18/89	Barbic, et al	364/900
:AC:	5251315	10/05/93	Wang	395/600
:AD:	5274757	12/28/93	Miyoshi, et al.	395/146
:AE:	5297039	03/22/94	Kanaegami, et al.	364/419.13
:AF:	5377348	12/27/94	Lau, et al.	395/600
:AG:	5388196	02/07/95	Pajak, et al.	395/153
:AH:	5579471	11/26/96	Barber et al	395/326
:AI:	5680619	10/21/97	Gudmundson, et al.	395/701
:AJ:	5778398	07/07/98	Nagashima, et al.	707/501
:AK:	5781732	07/14/98	Adams	395/200.35
:AL:	5787413	07/28/98	Kauffman et al.	707/2
: AM:	5806061	09/08/98	Chaudhuri, et al.	707/3

#### FOREIGN COUNTRIES

: :	DOC.	NO.	:	DATE	:	COUNTRY	:	CLASS/	:TRANS	SLA	TION
: :			:		:		:	SUBCLASS	YES	:	NO
				11/24/88		JAPAN (ABSTRACT	, onl	y included)			

:BB: WO9932982 07/01/99 PCT

OTHER PRIOR ART (INCLUDE. AUTHOR, TITLE, DATE, PERTINENT PG., ETC.)

:CA: IBM Digital Library "Application Programming Reference", Version

: : 2", Second Edition (September 1997) pp.1147-1257.

:CB:IBM Digital Library "Guide to Object-Oriented and Internet

:Application Programming", Version 2", Second Edition (September

:1997),pp. 1-169.

:CC:IBM Digital Library "Text Search Using TextMiner Application

: :Programming Reference" First Edition, September 1997.pp. 1-246.

## **EXAMINER**

# DATE CONSIDERED

Initial if reference considered, whether or not citation is \*EXAMINER: in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant (s).

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y. Docket No. : Serial No. SXL000017US1 :09/488,969

\*plicant(s)

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(Use several sheets if necessary)

William J. Baer, et. al. : Group : File Date

January 21, 2000 : Unknown

## U.S. PATENT DOCUMENTS

EXAM.: :	DOCUMENT			CLASS/	
INTL.::	NUMBER	DATE	NAME	SUBCLASS	
	5848404	12/08/98	Hafner, et al.	707/3	
:AO:	5848409	12/08/98	Ahn	707/3	
:AP:	5857203	01/05/99	Kauffman	707/200	
:AO:	5890147	03/30/99	Peltonen, et al.	707/1	
-	5956715	09/21/99	Glasser et al.	707/9	
:AS:	5991756	11/23/99	Wu	707/3	

## FOREIGN COUNTRIES

 :	:	DOC.	NO.	:	DATE	:	COUNTRY	:	CLASS/	:TRANSLATION
:	:			:		:		:	SUBCLASS	YES: NO

OTHER PRIOR ART (INCLUDE. AUTHOR, TITLE, DATE, PERTINENT PG., ETC

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